



Ouse & Humber
Drainage Board

RISK REGISTER 2020 REVIEW

Ouse and Humber Drainage Board Key Priorities

1. Reduce the risk of flooding and improve the drainage network within the district to mitigate and adapt to the impacts of climate change, whilst conserving and enhancing the natural environment in line with current legislation.
2. Identify and pursue transformation projects that will modernise and improve the efficiency of the Board's operations and working environment.
3. Invest in the Board's people, promote a safe working environment and support initiatives that will improve productivity and help secure succession planning for the Board.
4. Identify opportunities to expand the Board's area and provide professional services for partner authorities, where there is a clear benefit to the OHDB.
5. Seek maximum budget efficiencies, optimising and protecting the Board's revenue, reinvesting savings in frontline services and future capital investment.
6. Pursue a robust medium-term approach to managing the Board's drainage network.
7. Develop and deliver a coordinated programme of capital investment to upgrade existing and construct new assets, maximising funding from external sources.
8. Adhere to the Board's governance arrangements to ensure appropriate accountability and transparency of the Board's decisions and conduct and review them at timely intervals.
9. Develop a robust policy to respond to applications for new development, to reduce the impact of flooding on the Board's assets and the community.
10. Communicate and engage with stakeholders in a timely and professional manner.

KEY PRIORITY	RISKS	KEY CONTROLS	ASSURANCES ON CONTROLS	RISK SCORE	MANAGE	TRANSFER	INSURE	ACCEPT	REVIEW DATE	RESPONSIBLE OFFICER
1,2,6,7,9	The protection of physical assets owned by the Board – specialist assets including flood defence structures, pumping stations, machinery, equipment furniture, etc (loss or damage)	Inspection regime Insurance	Inspection reports and insurance certification	6	x		x		2020	CEO
1,2,6,7,9	The risk of damage to third party property or individuals as a consequence of the Board providing services (public liability)	Appropriate delegation, out sourced specialist advice, insurance	Review and audit.	6			x		2020	CEO
1,6,8,9	The risk of consequential loss of income or the need to provide essential services following critical damage, loss or non-performance by a third party (consequential loss)	Financial procedures, oversight, legal compliance	Review, Internal/External Audit	3	x			x	2020	CEO

KEY PRIORITY	RISKS	KEY CONTROLS	ASSURANCES ON CONTROLS	RISK SCORE	MANAGE	TRANSFER	INSURE	ACCEPT	REVIEW DATE	RESPONSIBLE OFFICER
8	Loss of cash through theft or dishonesty (fidelity guarantee)	Financial procedures, oversight, insurance	Internal/External Audit	3	x		x		2020	PFM
8	Legal liability as a consequence of asset ownership (public liability)	Inspection regimes insurance	Review and internal audit	2	x		x		2020	PFM
8	Legal liability as an employer (employers' liability)	Training, monitoring, H&S policy, Environment Policy, insurance	Audit, certification, licence	6	x		x		2020	PFM
8	Legal liability as the owner of motor vehicles (motor insurance)	Training, monitoring, insurance	Audit, certification, licence	4	x		x		2020	PFM
8	Corporate Manslaughter Legislation for employees	Training, monitoring, H&S policy, Environment Policy, insurance	Audit, certification, licence, specialist advice	3	x		x		2020	CEO
2,10	Damage to business continuity through failure of IT systems or cyber security breach	Training, monitoring, updating or systems	Certified contractors, cyber insurance policies	4	x		x		2020	CEO
1,3,6,7,9	Maintenance for watercourses and pumping stations	Inspection regimes, performance monitoring, insurance	Review and internal audit	3	x		x		2020	OTM
8	Vehicle or equipment lease or hire	Certification, competence, insurance.	Contractor assessment and selection	3			x		2020	OTM

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8	Professional Services	Financial procedures, oversight, insurance	Contractor assessment and selection	3	x				2020	PFM
1,2,3,5,6,7,9	Engineering	Certification, competence, performance monitoring, insurance.	Contractor assessment and selection,	3	x	x			2020	OTM
1,8	Ecology	Certification, competence, performance monitoring, insurance.	Contractor assessment and selection.	4	x	x			2020	OTM
8	Legal	Certification, competence, performance monitoring, insurance.	Contractor assessment and selection,	2	x	x			2020	PFM
3,8	Personnel	Certification, competence, performance monitoring, insurance.	Contractor assessment and selection,	3	x		x		2020	PFM
3,8	Health & Safety	Certification, competence, performance monitoring, insurance.	Contractor assessment and selection,	6	x	x	x		2020	OTM
1,2,7	Telemetry Installations	Certification, competence, performance monitoring, insurance.	Inspection reports and insurance certification	1	x				2020	CEO

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1,2,3,5,6,7,9	Mechanical & Engineering Asset Inspections	Certification, competence, performance monitoring, insurance.	Contractor assessment and selection,	6	x	x	x		2020	OTM
8	Banking arrangements, including borrowing or lending	Financial procedures, oversight, insurance	Review, Internal/External Audit	3	x				2020	PFM
8	Keeping proper financial records in accordance with statutory requirements	Financial procedures, oversight	Review, Internal/External Audit	3	x				2020	PFM
8	Ensuring all business activities are within legal powers applicable to Internal Drainage Boards	Policies and procedures, oversight	Review, Internal/External Audit	4	x				2020	CEO
8	Complying with restrictions on borrowing	Policies and procedures, oversight,	Review, Internal & External Audit	2	x				2020	CEO
3,8	Ensuring that all requirements are met under employment law and HM Revenue & Customs regulations	Policies and procedures, oversight, specialist advice	Review, Internal/External Audit	2	x		x		2020	PFM

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8	Ensuring the adequacy of the annual rates within sound budgeting arrangements	Robust budget, oversight, policies and procedures	Executive Committee, Full Board, Internal & External Audit	3	x				2020	CEO
8	Proper, timely and accurate reporting of Board business in the minutes	Agreed procedures and deadlines.	Executive Committee & Full Board	2	x			x	2020	PFM
8,10	Responding to those wishing to exercise their rights of inspection	Policies & Procedures, legal notices,	Oversight and internal review	2	x				2020	PFM
8	Register of Members' Interests and Gifts and Hospitality in place	Policies, Governance procedures	Review and Internal Audit	2	x			x	2020	PFM
8	Regular budget monitoring statements	Financial Procedures, Governance	Executive Committee, Full Board, Internal & External Audit	3	x				2020	PFM
8	Regular review of policies	Policies, Governance	Executive Committee, Full Board, Internal & External Audit	3	x				2020	PFM